

2. Amendment/Modification No. 0001	3. Effective Date 2004APR05	4. Requisition/Purchase Req No. SEE SCHEDULE	5. Project No. (If applicable)
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6. Issued By TACOM-ROCK ISLAND AMSTA-AQ-ARCC MISSY WITT (309)782-3743 ROCK ISLAND IL 61299-7630 EMAIL: WITTM@RIA.ARMY.MIL	Code	W52H09	7. Administered By (If other than Item 6)	Code	
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8. Name And Address Of Contractor (No., Street, City, County, State and Zip Code)	<input checked="" type="checkbox"/>	SCD	PAS	ADP	PT	9A. Amendment Of Solicitation No. W52H09-04-R-0206
	<input type="checkbox"/>					9B. Dated (See Item 11) 2004APR01
	<input type="checkbox"/>					10A. Modification Of Contract/Order No.
	<input type="checkbox"/>					10B. Dated (See Item 13)

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers is extended, is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing items 8 and 15, and returning 2 signed copies of the amendments; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. **FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER.** If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. Accounting And Appropriation Data (If required)

13. THIS ITEM ONLY APPLIES TO MODIFICATIONS OF CONTRACTS/ORDERS
It Modifies The Contract/Order No. As Described In Item 14.

<input type="checkbox"/>	A. This Change Order is Issued Pursuant To: The Contract/Order No. In Item 10A.	The Changes Set Forth In Item 14 Are Made In
<input type="checkbox"/>	B. The Above Numbered Contract/Order Is Modified To Reflect The Administrative Changes (such as changes in paying office, appropriation data, etc.) Set Forth In Item 14, Pursuant To The Authority of FAR 43.103(b).	
<input type="checkbox"/>	C. This Supplemental Agreement Is Entered Into Pursuant To Authority Of:	
<input type="checkbox"/>	D. Other (Specify type of modification and authority)	

E. IMPORTANT: Contractor is not, is required to sign this document and return _____ copies to the Issuing Office.

14. Description Of Amendment/Modification (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

SEE SECOND PAGE FOR DESCRIPTION

Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. Name And Title Of Signer (Type or print)	16A. Name And Title Of Contracting Officer (Type or print)
15B. Contractor/Offeror _____ (Signature of person authorized to sign)	15C. Date Signed
	16B. United States Of America By _____ (Signature of Contracting Officer)
	16C. Date Signed

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SECTION A - SUPPLEMENTAL INFORMATION

THE PURPOSE OF THIS AMENDMENT IS TO PROVIDE THE SMALL BUSINESS PARTICIPATION INFORMATION FOR SECTION L, SUBMISSION OF INFORMATION AS ATTACHMENT 009.

ALSO INCLUDED IS TO REPLACE THE SPECIAL PACKAGING INSTRUCTION, SPI P5-1-1086, DATED 9 FEBRUARY 2004, WITH A REVISED VERSION, DATED 20 JAN 2004 AS ATTACHMENT 010.

ALL OTHER TERMS AND CONDITIONS REMAIN THE SAME.

*** END OF NARRATIVE A 002 ***

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SECTION J - LIST OF ATTACHMENTS

<u>List of Addenda</u>	<u>Title</u>	<u>Date</u>	<u>Number of Pages</u>	<u>Transmitted By</u>
Attachment 009	PROPOSAL SUBMISSION FOR SMALL BUSINESS PARTICIPATION		4PG	
Attachment 010	SPECIAL PACKAGING INSTRUCTION (SPI) P5-1-1086	20-JAN-2004	1PG	

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SECTION L - INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS

Proposals shall be submitted in accordance with this section. To avoid unnecessary expense to both the Government and the offeror, offerors are advised to thoroughly review section M prior to submitting a proposal.

1. Past Performance.

a. Contract References: The offeror shall submit with its initial proposal, contract references representing its recent, relevant performance under government and or commercial contracts. The contractor shall submit no more than five contract references.

b. "Relevant Contracts" Relevant means performance on contracts similar in size and complexity to this solicitation that demonstrates that the offeror has successfully manufactured or provided the Coupling Half, Quick Disconnect, or similar items. We are particularly interested in seeing successful fabrication and assembly of metal components that include manufacturing processes such as anodizing, ultrasonic cleaning, epoxy bonding and airflow testing.

c. "Recent Contracts" means any contract under which any performance, delivery or corrective action has taken place within three years of the date of this Request for Proposal (RFP).

d. The following information is required with respect to each contract reference:

(1). Contract number and award date.

(2). Item(s) and or service(s)_provided, including National Stock Number (NSN), product description, part number, if applicable.

(3). A brief explanation of the contract's relevance to the current procurement.

(4). Contract Dollar Value

(5). Quantity and monthly delivery rate, if applicable.

(6). Original performance schedule and actual performance dates.

(7). Describe the technical innovations or engineering changes that improved the quality of performance aspects of the delivered product, or any significant achievements associated with contract performance.

(8). Buying activity or company, and mailing address.

(9). Point of contact, including names, job titles, telephone and fax numbers, and email addresses.

(10). A form is provided as an Attachment for the Offerors convenience.

e. Offerors that are newly formed entities may reference contracts performed by predecessor companies or contracts performed or supported by key personnel. Offerors supplying such information shall describe how it is relevant to the performance of this effort.

f. Other Contracts: In addition to the above contract references, the offeror shall identify every recent and relevant contract in which it was either the prime contractor or a subcontractor and which they:

(1). Experienced any delivery/performance delay.

(2). Experienced any quality problems.

(3). And every recent contract that was terminated or cancelled for any reason, in whole or in part.

g. For any contract falling under the description above, provide all of the information listed in the preceding paragraph plus for any contract falling under the description in 2.f. above, provide all of the information listed in paragraph 2.d, plus:

(1). List each time the delivery schedule was revised.

(2). Provide an explanation of why the revision was necessary.

(3). Provide a copy of any Cure Notices or Show Cause letters received.

(4). Identify reason for any termination.

(5). State any corrective action taken to avoice recurrence.

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(6). Describe the extent to which the corrective action has been successful, identifying points of contact who can confirm the success of the corrective measures.

(7). Describe in detail any quality or technical performance problems, including:

- (a). Rejection or failure of vendor parts.
- (b). Internal/External customer complaints.
- (c). First Article Test Failure/first piece disapprovals or failures.
- (d). Lot rejections.
- (e). Audit findings classified as major.
- (f). Quality deficiency reports.
- (g). Warranty claims.

(8). For any contract falling under the description above, address corrective actions implemented as a result of the problem encountered, identifying points of contact who can confirm the success of the corrective measures.

(9). The number of contract references provided in response to this paragraph is unlimited.

h. If there are no recent relevant contracts meeting the description above, it is necessary to state that.

3. Small Business Participation: All Offerors are to identify the extent to which small businesses (SBs), veteran-owned small businesses (VOSBs), service-disabled veteran-owned small businesses (SDVOSBs), historically underutilized business zone (HUBZone) small businesses, small disadvantaged businesses (SDBs), woman-owned small businesses (WOSBs), historically black colleges/universities or minority institutions (HBCUs/MIs) would be utilized in the performance of this proposed contract. For small businesses, as defined by the North American Industrial Classification System (NAICS) Code applicable to this solicitation, the offeror's own participation as a SB, VOSB, SDVOSB, HUBZone SB, SDB, WOSB, or HBCU/MI is to be identified, and will be considered in evaluating small business participation. The offeror is to address the following factors in detail:

a. All Offerors are to provide:

(1) The names of SBs, VOSBs, SDVOSBs, HUBZoneSBs, SDBs, WOSBs or HBCUs/MIs who would participate in the proposed contract, identifying specific components to be produced or services to be performed by them, and the estimated total dollars of such work;

(2) A description of the offeror's performance over the past three calendar years in complying with the requirements of FAR 52.219-8, Utilization of Small Business Concerns, including description and available documentation of the methods employed to promote small business participation and the internal methods employed to promote small business participation and the internal methods used to monitor such participation. Offerors who have never held a contract incorporating 52.219-8 shall so state.

b. In addition, Offerors who are large businesses as defined by the North American Industry Classification System (NAICS) Code applicable to this solicitation, are to provide a description of their performance over the past three calendar years in complying with the requirements of FAR 52.219-9, including documentation of their accomplishment of the goals established under Subcontracting Plans of prior contracts. Large businesses that have never held a contract incorporating 52.219-9 will so state.

c. To aid in the submission of the Small Business Participation evaluation factor, Attachment 009 may be completed and returned as part of your proposal.

4. Price.

a. Offerors are required to submit prices for all sub CLINs listed in schedule B. The First Article prices will be included under the subCLINs titled "production with First Article".

b. The Government reserves the right to require the submission of any data necessary to validate the reasonableness of an offer.

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SECTION M - EVALUATION FACTORS FOR AWARD

1. Basis for Award: The award of one contract will be made to the offeror whose proposal offers the best overall value to the Government based on an integrated assessment of the non-price and price factors. The evaluation factors include: Past Performance and Price. Past Performance is more important than Price. Although price is not the most important element it could become a controlling factor as offers under the non-price factors tend to equalize. Because this is a best value procurement, the Government reserves the right to make awards to someone other than the offerors who submit the lowest overall evaluated price or the highest rated offeror.

2. Offerors are urged to ensure that their proposals are submitted on the most favorable terms in order to reflect their best possible potential, since less than the optimal initial proposal could result in the exclusion of the offeror from further consideration. The Government anticipates making an award without discussions; however, we reserve the right to open discussions if it is deemed necessary by the PCO or the SSA.

3. Past Performance:

a. Past Performance information is evaluated as a predictor of future contract performance. Using past performance information from each offeror, the government will assess the probability that the instant requirement will be successfully completed in accordance with contract terms. In evaluating performance history, the Government may review the offerors current and prior performance record of complying with all aspects of its contractual agreement: conformance to technical requirements: timeliness of deliveries/performance; quality of performance. In conducting the Past Performance evaluation, the Government may use information obtained from other sources. The Government may consider the currency, degree of relevance, source and context of the past performance information it evaluates, as well as general trends in performance and demonstrated corrective actions. A significant achievement, problem/problem resolution or lack of relevant data in any element can become an important consideration in the selection process. A negative finding in any element may result in an overall high risk rating. The Government may also consider past performance information regarding predecessor companies, key personnel, other corporate entities or subcontractors where such information is recent and relevant to this acquisition.

b. The offerors Past Performance will be rated as follows:

(1). Very Low Risk: Based on the offerors past performance, very little doubt exists that the offeror will successfully perform the required effort.

(2). Low Risk: Based on the offerors past performance, little doubt exists that the offeror will successfully perform the required effort.

(3). Moderate Risk: Based on the offerors past performance, some doubt exists that the offeror will successfully perform the required effort.

(4). High Risk: Based on the offerors past performance, significant doubt exists that the offeror will successfully perform the required effort.

(5). Unknown Risk: The offeror had little or no recent/relevant past performance upon which to base a meaningful performance prediction.

c. The Government is not required to interview all points of contact identified by offerors. It is the responsibility of the offeror to provide complete past performance information and thorough explanations as required by Section L. The Government is not obliged to make another request for the required information.

4. Small Business Participation: The Government will evaluate the extent to which Offerors identify, and commit to utilizing, SBs, VOSBs, SD VOSBs, HUBZoneSBs, SDBs, WOSBs and HBCUs/MIs in the performance of the contract; such utilization may be as the prime contractor or a subcontractor, or as a member of a joint venture or teaming arrangement. The Government will also evaluate the extent of the offeror's past compliance with FAR 52.219-8, Utilization of Small Business Concerns, and FAR 52.219-9, and Small Business Subcontracting Plan. Evaluation will consider the following:

(a) The extent to which the proposal specifically identifies SBs, VOSBs, SDVOSBs, HUBZoneSBs, SDBs, WOSBs and HBCUs/MIs;

(b) The extent of participation of such concerns in terms of the value of the total contract amount; and

(c) An assessment of the risk, based upon past performance, of the offeror actually achieving the involvement of small business concerns as proposed. Such assessment will include:

-For all Offerors, an evaluation of performance over the past three calendar years in complying with the requirements of FAR 52.219-8, Utilization of Small Business Concerns;

-For Offerors who are large businesses as defined by the North American Industry Classification System (NAICS) Code applicable to this solicitation, an additional evaluation of past performance over the last three calendar years in complying with the requirements of FAR 52.219-9, Small Business Subcontracting Plan. Where a large business has not held a contract that included 52.219-9, its prior

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performance will be evaluated against 52.219-8 only.

(d) The extent of substantive evidence indicating the level of past compliance with the requirements of FAR 52.219-8 and FAR 52.219-9.

(e) The following adjectival ratings will be used:

(1) Excellent: Proposal includes a substantial portion of the work, in terms of dollar value (more than 20%) to be performed in the Small Business (SB), Veteran-Owned Small Business (VOSB), Service-Disabled Veteran-Owned Small Business (SDVOSB), HUBZone Small Business (HUBZone SB), Small Disadvantaged Business (SDB), Woman-Owned Small Business (WOSB), and Historically Black Colleges and University/Minority Institution (HBCU/MI) sector by the prime (if so qualified) and/or as subcontractors or team members. Offeror has substantive evidence suggesting prior achievement of subcontracting plans or policy goals. Based on the proposal and past performance history, the offeror's proposed goals and/or actions are substantial and are considered very realistic (very low risk). There is substantive evidence indicating past compliance with the requirements of FAR 52.219-8 and FAR 52.219-9.

(2) Good: Proposal includes a significant portion of the work in terms of dollar value (more than 15%) to be performed in the Small Business (SB), Veteran-Owned Small Business (VOSB), Service-Disabled Veteran-Owned Small Business (SDVOSB), HUBZone Small Business (HUBZone SB), Small Disadvantaged Business (SDB), Women-Owned Small Business (WOSB), and Historically Black Colleges and University/Minority Institution (HBCU/MI) sector by the prime (if so qualified) and/or as subcontractors or team members. Offeror has evidence suggesting prior achievement of most subcontracting plan or policy goals. Based on the offeror's proposal and past performance history, the offeror's proposed goals and/or actions are significant and are considered realistic (low risk). There is significant substantive evidence indicating past compliance with the requirements of FAR 52.219-8 and FAR 52.219-9.

(3) Adequate: Proposal includes a reasonable portion of the work in terms of dollar value (more than 10%) to be performed in the Small Business (SB), Veteran-Owned Small Business (VOSB), Service-Disabled Veteran-Owned Small Business (SDVOSB), HUBZone Small Business (HUBZone SB), Small Disadvantaged Business (SDB), Women-Owned Small Business (WOSB), and Historically Black Colleges and University/Minority Institution (HBCU/MI) sector by the prime (if so qualified) and/or as subcontractors or team members. Offeror has evidence suggesting prior achievement of some subcontracting plan or policy goals. Based on the offeror's proposal and past performance history, the offeror's proposed goals and/or actions are adequate and could be met if the offeror focuses attention on them (moderate risk). There is reasonable substantive evidence indicating past compliance with the requirements of FAR 52.219-8 and FAR 52.219-9.

(4) Marginal: Proposal includes a minimum portion of the work in terms of dollar value (less than 10%) to be performed in the Small Business (SB), Veteran-Owned Small Business (VOSB), Service-Disabled Veteran-Owned Small Business (SDVOSB), HUBZone Small Business (HUBZone SB), Small Disadvantaged Business (SDB), Women-Owned Small Business (WOSB), and Historically Black Colleges and University/Minority Institution (HBCU/MI) sector by the prime (if so qualified) and/or as subcontractors or team members. Based on the offeror's proposal and/or past performance history, there is little likelihood that more than a minimal portion of the work will be performed in this sector (High risk). There is minimal substantive evidence indicating past compliance with the requirements of FAR 52.219-8 and FAR 52.219-9.

(5) Poor: Offeror demonstrates little or no commitment to using SBs, VOSBs, SDVOSBs, HUBZones SBs, SDBs, WOSBs and HBCU/MIs. There is no evidence that the offeror met his prior goals and/or shows no serious commitment and did not provide adequate justification for not doing so. Based on the proposal and/or past performance history, there is negligible likelihood that anything other than a token portion of the work will be performed in this sector (Very High risk). There is little or no substantive evidence indicating past compliance with the requirements of FAR 52.219-8 and 52.219-9.

(6) Neutral: Foreign firm (offeror) has held no past Government contract(s) subject to FAR 52.219-8 or 52.219-9. Foreign firm (offeror) indicates no opportunity for using SBs, SDBs, HUBZone SBs, WOSBs and HBCU/MIs as all contract work will be performed completely outside the United States or no meaningful subcontract opportunities exist.

5. Price:

a. The Government will evaluate offers based on prices proposed for all CLINS required by the solicitation.

b. The final total evaluated prices will be dependent upon whether or not an offeror has received approval of a waiver of the FAT requirement prior to evaluation. If FAT is waived, the total evaluated price will be the subCLIN price titled "production without First Article".

c. If an offeror takes exception to any of the CLINS, the Government may reject that offer as unacceptable.