

10 Nov 1998
MY DOCUMENTS\M1A2\SOW\cpage2

STATEMENT OF WORK
FOR
INTERIM LOGISTICS SUPPORT

Prepared by
Armament and Chemical Acquisition and Logistics
Activity (ACALA)

Attachment 1

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SECTION C - STATEMENT OF WORK

1. Scope.

The Contractor shall provide the supplies and services necessary to perform the maintenance required to correct, upgrade government owned material, damaged or failed, and to restore the assets, components, subassemblies, or assemblies to a serviceable condition (as described in Paragraphs 3 and Section E).

1.1 Background.

The reworked, refurbished, (refurbish is defined as "to upgrade and/or modify"), assets, (Gunner's Control Handle Grip Assembly), will be used to support the M1A1/M1A2 Tanks.

2. Applicable documents.

In case of conflict between the SOW and the cited documents, this SOW takes precedence.

2.1 DOD specifications:

MIL-STD-129N	15May97	Standard Practice for Military Marking
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2.2 DOD Standards:

MIL-STD-973A	13Jan95	Configuration Management
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2.3 Other Publications:

ANSI/ASQC Q9002-1994	1994	Quality Systems - Model for Quality Assurance in Production, Installation and Servicing
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ASTM-D3951-95	1995	Standard Practice for Commercial Packaging
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FAR Part 45	1 Apr 84	Federal Acquisition Regulations - Government Property
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3. Requirements:

3.1 General:

3.1.1 The received items, NSN 1090-01-076-6865, part no. 12283113-1, (see Exhibit A) shall be repaired/upgraded to the latest configuration, NSN 1290-01-428-2547, part no. 12283113-3, so long as the cost does not exceed the maximum cost as specified in Exhibit A.

3.1.2 Reworked, refurbished, upgraded items listed in EXHIBIT A will be inducted against their respective CLIN.

3.1.3 All Abrams Tank reworked, refurbished items shall be returned to Defense Distribution Region - East upon completion of depot level reworked, refurbished per EXHIBIT B.

3.1.4 The Contractor shall meet an average turnaround time of 90 days for reworked, refurbished items. Turnaround time assumes availability of reworked, refurbished parts and noninterference with the production program for those items required to be reworked, refurbished. Turnaround time is defined as from receipt in/departure from the Contractor's facility. The Contractor shall retain a trained technical base required for maintaining a ninety-day turnaround capability.

3.2 Repair:

3.2.1 New or reworked parts shall conform to the applicable drawings identified in Government technical data package (TDP). All line replaceable units shall be re-coated with CARC IAW TDP requirements.

3.2.2 Inspect and test to the drawing and acceptance test requirements applicable to the item, and do such other tests as are necessary in order to ascertain the nature and extent of the failure. Fault isolation may be subject to

verification by the authorized Government representative. At the representative's discretion, the Contractor's final proposed rework action may also be subject to the Government representative's review and verification.

3.2.3 The Contractor shall request authority by letter to the Contracting Officer to rework and upgrade a component if the estimated total cost of rework and administration exceeds the maximum cost listed in EXHIBIT A. For those items not listed, the ceiling cost shall not exceed 80 percent of the latest replacement cost as listed in the most current Army Master Data File. Delivery turnaround time shall start upon notification of the contracting officer to rework the item. Components which are not authorized for rework shall be returned IAW EXHIBIT B. Parts and components that are unusable and/or not capable of rework, are the property of the US ARMY and shall be disposed of IAW EXHIBIT B. Parts listed as "rework" will in all cases be reassembled to a like assembly that they were originally removed from.

3.3 Upgrade:

3.3.1 The received items (PN 12283113-1) shall be repaired and upgraded to the latest configuration (PN 12283113-3) so long as the cost does not exceed the maximum cost as specified in Exhibit A.

3.3.2 Engineering change proposal, ECP G5T4084 with corresponding engineering release records, ERRs, shall be used as the authority for the upgrade.

3.4 Configuration Management:

3.4.1 Request for deviation/waiver:

3.4.1.1 When deviations to, or waivers from, the specification or drawings cited in orders issued under this agreement are required, requests will be submitted to the Government per DI-CMAN-80641B, DI-CMAN-80640B, and the guidance of MIL-STD-973A.

3.4.1.2 No request for the deviation or waiver will become effective until approval is received from the Government Contracting Officer.

3.4.2 Reworked Items:

In those cases where specifications permit, and it is economically feasible to do so, removed parts which are normally classified consumable may be reworked instead of replaced provided rework costs do not exceed the cost of new parts. Reworked items shall meet the Government TDP for the item and may be subject to verification by the authorized Government representative. The Contractor shall request authority by letter to the Contracting Officer to rework a component if the estimated total cost of rework and administration exceeds the cost of new parts.

3.5 Repair/Upgrade Quantity Per Month:

3.5.1 For NSN 1090-01-076-6865, P/N 12283113-1, 10 per month are expected.

4. Progress Reports:

A Depot Maintenance Cost Report (DI-FNCL-80462) shall be prepared.

5. MILSTRIP Requisitioning:

5.1 MILSTRIP requisition authority is not granted for this repair/upgrade contract.

EXHIBIT A Proposed Repairable and Reworked Items

1. Proposed Repairable/Ugraded Items:

NATIONAL STOCK #	PART NO.	PROJECTED		Nomenclature
		MAX QTY	MAX COST	
1090-01-076-6865	12283113-1	234	\$2,900	Grip Assembly, Gunner's Control

EXHIBIT B – ACCOUNTABILITY INSTRUCTIONS

1. Retention or Transfer of Accountability:

a. The Government is required to retain property accountability for all GFM and Assets being repaired. Army Regulations implement the Federal Acquisition Regulation (FAR) and Govern the practices and procedures necessary for recording receipts Discrepancies, Inventories, Adjustments, and Shipments by the contractor.

b. Government furnished materiel (GFM) for consumption/incorporation: Accountability for this materiel is transferred to the Contractor under provisions of the FAR. The Contractor shall maintain the official proper; property records in accordance with Part 45. Cited data item descriptions provide transaction reporting necessary for accurate physical and fiscal accounting for materiel in the possession of defense contractors.

2. Point of contact for accountability:

Director
ACALA
ATTN: AMSTA-AC-NCDA
Rock Island IL 61299-7630
Commercial Phone: (309) 782-7616

3. Materiel Receipt by Contractor:

a. Reporting of receipts: The Contractor shall receive assets from the Government and shall perform an inspection and inventory within the time specified by DD Form 1423. Assets received shall be reported by NSN, quantity, condition code, document number and serial number of receipt in accordance with DD Form 1423, DI-MGMT-80442. Reporting data may be obtained from DD Form 1348-1A accompanying the receipt, from other documentation provided, or from Accountable Activity POC as follows:

Director
ACALA
ATTN: AMSTA-AC-NCPD
Rock Island IL 61299-7630
Commercial Phone: (309) 782-6396

b. Discrepancy Reporting: Discrepancies shall be distinguished and reported as one of the following:

(1) Transportation type discrepancy:

This discrepancy is evident when materiel received disagrees with the condition, quantity, or type from that property described on the bill of lading or other transportation document. See DI-MGMT-80544A.

(2) Shipping type discrepancy:

This discrepancy is evident when freight is opened and the contents do not agree with the supply shipping documents. See DI-MGMT-8C503, Report of Shipping (Item) and Packaging Discrepancy.

(3) All data/reports to be submitted electronically utilizing E-Mail with attachments of MSWORD, MICROSOFT EXCEL, or MICROSOFT ACCESS software packages. If unable to E-Mail, reports are to be submitted on 3 ~ 1.44m floppy disks.

(4) Transactions may also be transceived by AUTODIN utilizing address routing indicator code RUQADUE with content indicator code AHAE.

c. Status of Repairable Assets: Status of repairable assets shall be provided by the Report of Receipts, Adjustments, Inventory and Shipments. See DI-MGMT-80442.

4. Component Removal:

Repairable components which are removed from the item being repaired and not reassembled to the repaired item will be reported the Accountable Activity for disposition. See DI-MCMT-80442.

5. Shipment of Repaired Items:

a. General: Upon completion of repair, the Contractor shall return the assets to:
W25G1U XU Transportation Officer
Defense Dist Depot Sesquehanna
New Cumberland, PA 17070-5001

b. Disposition may be prearranged for delivery to a specific depot with a provision for exception.

6. Materiel Inspection and Receiving Report:

The Contractor shall use DD Form 1348-1A DOD Single Line Item Release/Receipt Document, for return of materiel. It is imperative that the Contractor, in completing the DD Form 1348-1A perpetuate the document number, serial number (and NSN, unless re-identified) from the incoming shipping document, DD Form 1348-1A. These return instructions must be complied with except when other modifying instructions are authorized by the ACALA. These exceptions will be handled on a case by case basis and the Contractor will be provided with amended shipping instructions, as required. Copies of all DD Forms 1348-1A shall be mailed to the following:

Director
ACALA
ATTN: AMSTA-AC-NCPD
Rock Island IL 61299-7630

7. Report of Balance and/or Physical Inventory Count:

a. Balance by NSN: The Contractor shall report to the Government (Assigned Supply Distribution Activity (ASDA) or Management Control Activity (MCA) an NSN balance by contract in accordance with DI-MGMT-80442.

b. Discrepancies: Should balance reported differ from computed balance maintained by the Government DI-MGMT-80442 shall be utilized to provide results of the physical count directed by the contract administration officer.

(1) Gains resulting from subtracting the quantity recorded on Government records from the quantity physically inventoried will be posted to Government records by adjustment report by The ACALA.

(2) Losses resulting from subtracting the quantity physically inventoried from the quantity recorded on Government records are subject to report of survey process. The Contracting Officer will effect a finding and determination will be included in the report of survey as an exhibit.

c. Appointing and Approving Authority: The appointing and approving authority will be the Commander of the ACALA, or persons to whom this authority has been delegated.

8. Excess GFM:

a. Inventory: In accordance with the FAR, subpart 45.508-1, immediately upon termination or completion of a contract, the Contractor shall perform and cause each subcontractor to perform a physical inventory, adequate for disposal purposes, of all GFM applicable to the contract.

b. Reporting: In accordance with the FAR, subpart 45.608, the Plant Clearance Office will determine the categories of screening required and initiate screening action.

c. Purchase or Retention: The FAR, subpart 45.604 and applicable Government restrictions apply.

SECTION D - Packaging and Marking

1. For Items Reworked/Refurbished by the Contractor:

1.1 Reference Attachment 6, Packaging requirements within the contract.

1.2 Marking and bar coding shall be IAW MIL-STD-129. Items returned to the government depots after rework shall have the following special marking on all packaging.

"M1A1/M1A2 CONTRACTOR REWORKED"

2. For Items NOT Authorized for Contractor Rework:

2.1 Packaging for shipment shall be per ASTM-D3951-95.

2.2 (Applicable only to items that exceed the rework maximum cost). A copy of all inspections/tests performed in conjunction with the economical rework determination (Section C, Paragraph 3.3.2) shall be packaged with the item and included with shipping documents.

2.2.3 Packages returned to the Government for which rework was not authorized shall have the following special marking:

"M1A1M1A2 CONTRACTOR RETURNS (NONREWORKED)"

SECTION E - Inspection And Acceptance

1. The quality program requirement shall be IAW ANSI/ASQC 9002 or government approved equivalent.
2. Government Procurement Quality Assurance will be accomplished by the Government-Authorized Quality Assurance Representative. Acceptance will be at the Contractor's plant.
3. Reworked/upgraded items shall be tested/inspected using test assembly procedure/criteria equivalent to those required for like current production items; that is, units and subassemblies.
4. The contractor must assure performance of control testing for new material per applicable specification except when such testing is already performed in support of production for the Abrams tank program.
5. Contractor testing/final acceptance will be verified by the Government representative. Verification is certified by acceptance signature on the DD form 250.
6. The Contractor shall maintain their acceptance inspection and test equipment through its existing Calibration System. The Contractor shall notify the Government of any changes to its existing Calibration System. These changes shall be subject to Government review. The Contractor may elect to implement the requirements of ISO 10012-1-1993 to fulfill the calibration requirements.

DOCUMENT SUMMARY LIST

Item: _____ NSN: _____
 Control Number/PRON: _____

Identifies all first tier documents (cited in SOW) (applicable DIDs). Also included are all referenced documents (2nd, (includes DID block 10 references), 3rd and lower tier) which have been tailored.

DOCUMENT CATEGORY:

CATEGORY 0 - Unless otherwise specified in the solicitation, contract or contract modifications, all documents are for guidance and information only.

CATEGORY 1 - The requirements contained in the directly cited document are contractually applicable to the extent specified. All referenced documents are for guidance and information only.

CATEGORY 2 - The requirements contained in the directly cited document and the reference document identified in the directly cited document are contractually applicable to the extent specified. All subsequently referenced documents are for guidance and information only.

Document Number (Contract Reference) Applicable Tailoring	Document Title	Document Date/ Document Category
1a. MIL-STD-973A	Configuration Management	17Apr92 CAT 2

See section C clause(s) titled: Value Engineering Change Proposals, Engineering Change Proposals, Deviation and/or Ozone -Depleting Substances.

In the application of MIL-STD-973 Paragraphs 5.4.3, 5.4.4 and 5.4.8 apply, and are tailored as follows:

- (1) Pare 53, para 5.4.3.4., Delete "a contractor designed form, or a letter" in the first sentence.
- (2) Page 53, para 5.4.3.3.2a., Line 5, add "or size" after "weight."
- (3) Page 53, Delete para 5.4.3.5., and replace by, "Unless otherwise specified in the contract, request for critical deviations should be approved or disapproved within 30 calendar days of receipt by the Government and for all other deviations within 60 calendar days of receipt by the Government."

Attachment 2

Control No./PRON

(4) Para 5.4.3.5.1. Minor deviations.
Line 3. Delete "...by the activity...Class II change and insert "by the Contracting Officer."

(5) Page 55, para 5.4.4.3.2a., Line 7, add "or size" after "weight."

(6) Page 56. Delete para 5.4.4.5 and replace by "Unless otherwise specified in the contract, request for critical waivers should be approved or disapproved within 30 calendar days of receipt by the Government and for all other RFWs within 60 calendar days of receipt by the Government."

(7) Para 5.4.4.5.1. Minor waivers.
Lines 4 and 5. Delete "...Contract Administration Office (CAO)." Insert "...Configuration Manager and a Government Contracting Officer."

(8) Page 61, para 5.4.8.3.4., in line 6 ad "or size" after "weight."

(9) Page 61, Add new para 5.4.8.3.4., "an RFD shall be supported by test data and analysis, where appropriate, and provided to support the decision regarding acceptance of the non conformance."

(10) Page 61, Delete para 5.4.8.3.5. and replace by, "unless otherwise specified in the contract, deviations are approved and authorized only by the Contracting Officer. Critical deviations should be processed within 30 calendar days of receipt by the Government and all other RFDs processed within 60 calendar days of receipt by the Government."

(11) Page 62, para 5.4.8.4., delete lines 7 through 10 and replace with "standard. All RFWs shall be submitted as specified in the contract for approval or disapproval and acceptance or rejection by the authorized Contracting Officer."

(12) Page 62, para 5.4.8.4.4., on line 6 add "or size" after weight."

(13) Page 62, para 5.4.8.4.4.1., "an RFW shall be supported by test data and analysis, where appropriate, and provided to support the decision regarding acceptance of the non conformance."

1b.	MIL-STD-973A (Sec C, Para 3.4.1.1)	Configuration Management	13Jan95 Cat 0
1c.	DI-CMAN-80640B (Data Item No. A001)	Request for Deviation	13Jan95 CAT 1
1d.	DI-CMAN-80641B (Data Item No. A001)	Request for Waiver	13Jan95 CAT 1

2A.	N/A (Sec C, Para 4)	Statement of Work	N/A CAT 1
2B.	DI-FNCL-80462 (Data Item No. A002)	Depot Maintenance Cost Report	15Oct87 CAT 1

3A.		Statement of Work (Sec C, Exhibit B, Para 3.1)	N/A CAT 1
3B.	DI-MGMT-80442 (Data Item No. A006)	Report of Receipts, Inventory, Adjustments and Shipments of Government Property	17Sep87 CAT 1

4.	FAR Part 45 (Sec. C, Exhibit B, Para 1)	Federal Acquisition Regulation - Gvt Property	1 Apr84 CAT 1

5A.		Statement of Work (Sec C, Exhibit B, Para 3.3)	N/A CAT 1
5B.	DI-MGMT-80503 (Data Item No. A002)	Report of Shipping (Item) and Packaging Discrepancy	30Dec87 CAT 1

6A.		Statement of Work (Sec C, Exhibit B, Para 3.3)	N/A CAT 1
6B.	DI-MGMT-80544A (Data Item No. A008)	Transportation Discrepancy Report	8 Nov90 CAT 1

7.	ASTM-D3951-95 (Sec D, Para 1.2, 1.3, 2.1)	Standard Practice for Commercial Packaging	1995 CAT 1

8. ANSI/ASQC Q9002-1994 (Section E, Para 1)	Quality Systems - Model for Quality Assurance in Production, Installation and Servicing	1994 CAT 1
9. ISO 10012-1-1993 (Sec E, Para 6)	Quality Assurance Requirements for Measuring Equipment	1992 CAT 0

DATA ITEM DESCRIPTION

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 110 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. TITLE TRANSPORTATION DISCREPANCY REPORT	2. IDENTIFICATION NUMBER DI-MGMT-80544A
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3. DESCRIPTION/PURPOSE

3.1 The Transportation Discrepancy Report provides notification when materiel received disagrees with condition, quantity, or type as described on the Bill of Lading or other transportation document.

(Continued on Page 2)

4. APPROVAL DATE (YYMMDD) 90/11/08	5. OFFICE OF PRIMARY RESPONSIBILITY (OPR) A/AMSMC-MMD	6a. DTIC APPLICABLE	6b. GIDEP APPLICABLE
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7. APPLICATION/INTERRELATIONSHIP

7.1 This Data Item Description (DID) contains the format and content preparation instructions for the data product generated by the specific and discrete task requirement as delineated in the contract.

7.2 This DID is applicable to receipt of Government furnished materiel by a contractor.

8. APPROVAL LIMITATION	9a. APPLICABLE FORMS SF 361	9b. AMSC NUMBER A5036
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10. PREPARATION INSTRUCTIONS

10.1 Format. The Transportation Discrepancy Report shall be delineated on Standard Form 361.

10.2 Content. The Transportation Discrepancy report content shall be in accordance with the following:

10.3 Specific Instructions.

10.3.1 Item 1 - DATE. Current Julian date for request for information. Example: 30 January 1984 would be entered as 4030.

10.3.2 Item 2 - REPORT NUMBER. This number is made up of the reporting activity's Department of Defense Activity Address Code (DODAAC) followed by a four digit number which starts with 0001 and goes to 9999 or to the end of the calendar year. The first Transportation Discrepancy Report of each year will always be 0001.

10.3.3 Item 3 - TO. Name and address (including ZIP code) of the office to which the notification will be mailed.

10.3.4 Item 4 - REPORTING ACTIVITY. Name and address (including ZIP code) of the activity with the DODAAC entered in the shaded area.

(Continued on Page 2)

11. DISTRIBUTION STATEMENT

DISTRIBUTION STATEMENT A: Approved for public release; distribution is unlimited.

Attachment 3

3. DESCRIPTION/PURPOSE (Continued)

3.2 The principal use of this report is to provide documented proof of transportation discrepancies to support the following: Loss and damage claims against the carrier or contractor; loss and damage prevention; and changes to financial and property/inventory files.

7. APPLICATION/INTERRELATIONSHIP (Continued)

7.3 Information applicable to the reporting of transportation discrepancies is contained in a joint publication (Army Regulation 55-38, NAVSUP Instruction 4610.33C, Air Force Regulation 75-18, Marine Corps Order P4610.19D, Defense Logistics Agency Regulation 4500.15) entitled "Reporting of Transportation Discrepancies in Shipments".

7.4 Copies of the joint publication are available through normal service distribution channels. The office of primary interest in the joint publication is the Commander, Military Traffic Management Command, ATNN: MT-ITD, Washington, DC 20315.

7.5 This Data Item Description supersedes DI-MGMT-80544.

10. PREPARATION INSTRUCTIONS (Continued)

10.3.5 Item 5 - CONSIGNOR. Name and address (including ZIP code) of the activity that directed the shipment with the DODAAC entered in the shaded area. If shipped by a contractor, this activity will be the one that administered the contract.

10.3.6 Item 6 - CONSIGNEE. Name and address (including ZIP code) of the activity shown as consignee on the transportation document. Enter THE DODAAC in the shaded area. If the reporting activity is the consignee enter "Same as 4."

10.3.7 Item 7 - SHIPPER. Name and address (including ZIP code) of the activity making the shipment of the consignor. If the shipper is the consignor, enter "Same as 5." If the shipment is containerized, include the name of the activity that stuffed the container.

10.3.8 Item 8 - CARRIER ROUTING AND IDENTIFICATION. Enter Standard Carrier Alpha Codes (SCAC) from transportation document in the proper sequence in the shaded blocks. Enter name of carrier, and on other than Less Truck Loan (LTL) the identification number of car, truck, trailer, or the name of the vessel. For containers, show the trailer or Transportation Control Number (TCN) and, where known, the voyage number. For Military Airlift Command (MAC), QUICKTRANS, or LOGAIR shipments, show the flight or mission number and the air manifest number.

10.3.9 Item 9 - POINT OF ORIGIN. Leave blank unless different from block 5.

10.3.10 Item 10 - CARRIER'S PRO/FREIGHT BILL NO. Copy number from the carrier's delivery receipt.

10.3.11 Item 11 - DESTINATION. Leave blank unless different from block 6.

10.3.12 Item 12 - BILL OF LADING NO/TYPE. Enter number and indicate type: i.e., Government.

PREPARATION INSTRUCTIONS (Continued)

10.3.13 Item 13 - MODE CODE. Choose correct code from the following:

CODE	DESCRIPTION
A	Motor, truckload
B	Motor, less than truckload
C	Van (unpacked, uncrated personal or Government property)
D	Driveway, truckaway, towaway
E	Bus
F	MAC
G	Surface parcel post
H	Air parcel post
I	Government trucks, for shipment outside local delivery area
J	Small package carrier
K	Rail, carload*
L	Rail, less than carload*
M	Freight forwarder
N	LOGAIR
O	Organic military air (including aircraft of foreign governments)
P	Through Government Bill of Lading (TGBL)
Q	Air freight, air express, air charter (commercial)
R	Expedited air freight
S	Scheduled truck service (applies to contract carriage, guaranteed traffic routings and/or scheduled service)
T	Air freight forwarder
U	QUICKTRANS
V	SEAVAN
W	Water, river, lake, coastal (commercial)
X	Bearer walk-thru (customer pickup of materiel)
Y	Intra-theater airlift service
Z	Military Sealift Command (MSC) (controlled contract or arranged space)
2	Government watercraft, barge, lighter
3	Roll On Roll Off (RORO) service
4	Armed Forces Courier Service (ARFCOS)
5	United Parcel Service
6	Military Official Mail (MOM)
7	Express mail
8	Pipeline
9	Local delivery by Government or commercial truck includes on-base transfers, deliveries between air, water, or motor terminals, and adjacent activities. Local delivery areas are identified in commercial carriers' tariffs which are filed and approved by regulatory authorities.

*Includes Trailer/Container-On-Flat-Car (excluding SEAVAN).

10.3.14 Item 14 - DATE CARRIER SIGNED FOR SHIPMENT. Julian date that carrier signed for the shipment.

10.3.15 Item 15 - DATE CONSIGNEE RECEIVED SHIPMENT. Julian date on which the consignee signed for the shipment.

PREPARATION INSTRUCTIONS (Continued)

10.3.16 Item 16 - DATE DISCREPANCY DISCOVERED. Julian date.

10.3.17 Item 17 - DATE CARRIER NOTIFIED. Julian date on which the commercial carrier was first notified (as in case of pilferage, theft, vandalism, partial loss or damage), and method, e.g. "3133 phone".

10.3.18 Item 18 - NAME OF PERSON CONTACTED. Show the name and telephone number of the person contacted.

10.3.19 Item 19 - SEAL NUMBERS AND CONDITION. Place an "X" in the proper block and show the seal number and condition.

10.3.20 Item 20 - ACQUISITION DOCUMENT AND/OR TRANSPORTATION CONTROL NO. Show both the numbers or the one on hand.

10.3.21 Item 21 - COMMODITY DESCRIPTION AND/OR NATIONAL STOCK NO (NSN). Show item name and NSN or part number.

10.3.22 Item 22 - TYPE OF PACK. Choose the correct two character (alpha or alphanumeric) code from the following:

a. Military Sealift Command VAN (MSCVAN), Military VAN (MILVAN), and sea VAN (SEAVAN) Shipments.

CODE	DESCRIPTION
A _____	MSC lease/controlled SEAVAN or MILVAN (MSCVAN)
B _____	MILVAN
Z _____	SEAVAN

(Second position Code for MSCVANS, MILVANS and SEAVANS shown below:

A	Loaded to capacity by ocean carrier
B	Loaded to capacity by military terminal
C	Loaded to capacity by military shipping activity
D	Loaded to capacity by vendor
E	Loaded to capacity by contract shipment consolidation facility
F	Loaded to less than capacity by military shipment activity with loading to capacity completed by contract shipment consolidation facility
L	Loaded to less than capacity by military shipment activity, loading completed by military terminal
M	Loaded to less than capacity by vendor, loading completed by military terminal
N	Loaded to less than capacity by contract shipment consolidation facility, loading completed by military terminal
P	Loaded to less than capacity with military cargo by ocean carrier, commingled with commercial cargo in accordance with the MSC Container Agreement and Rate Guide
T	Loaded to less than capacity by military shipment activity, loading completed by ocean carrier
U	Loaded to less than capacity by vendor, loading completed by ocean carrier

PREPARATION INSTRUCTIONS (Continued)

CODE	DESCRIPTION
V	Loaded to less than capacity by contract shipment consolidation facility, loading completed by ocean carrier
W	Loaded to less than capacity by vendor, loading completed by contract shipment consolidation facility
Z	Empty MILVAN or SEAVAN
3	Loaded to less than capacity by military shipment activity
4	Loaded to less than capacity by vendor
5	Loaded to less than capacity by contract shipment consolidation facility

b. Container Express (CONEX) Shipments.

X _____ CONEX

(Second position Code for CONEX Shipments based on CONEX serial number shown below)

0	00001 - 99999
1	10000 -199999
2	20000 -299999
3	30000 -399999
4	40000 -499999
5	50000 -599999
6	60000 -699999
7	70000 -799999
8	80000 -899999
9	90000 -999999

c. Breakbulk Shipments.

CODE	DESCRIPTION
BD	Bundle
BE	Bale
BG	Bag, burlap or cloth
BL	Barrel
BS	Basket
BX	Box
CA	Cabinet
CB	Carboy
CC	BBG Containers, wood (Federal Specification PPP-B-580)
CL	Coil
ON	Can
CO	Container, other than Code CU, CW, or NX
CR	Crate
CS	Case
CT	Carton
CU	Container, Navy cargo transporter
CW	Container, commercial highway lift
CY	Cylinder
DE	Duffelbag
DR	Drum

PREPARATION INSTRUCTIONS (Continued)

CODE	DESCRIPTION
EC	Engine container
ED	Engine cradle or dolly
FK	Footlocker
HA	Hamper
KE	Key
LS	Loose, not packaged
MW	Multi-wall container (formerly referred to as triple wall or tri-wall secured or attached to a warehouse pallet)
MX	Mixed (more than one type of shipping container)
PC	Piece
PL	Pail
PT	Palletised unit load, other than Code MW
RL	Reel
RO	Roll
RT	RORO
SA	Sack, paper
SB	Skid, box
SD	Skid
SH	Sheet
SL	Spool
SW	Suitcase
TB	Tub
TK	Trunk
TU	Tube
UX	Unitized (use Code RT for unitized cargo on RORO)
VC	Van Chassis
VE	Vehicle
VO	Vehicle in operating condition
VS	SEAVAN-TOTE (Totem Ocean Trailer Express)
WR	Wrapped

10.3.23 Item 23 - QUANTITY DISCREPANT (PIECES). Show the number of pieces reported as discrepant for each line entry.

10.3.24 Item 24 - TYPE AND CAUSE CODE. Choose the correct two character (alpha or alphanumeric) code from the following:

Discrepancy Type	1st Position Code	Discrepancy Cause	2nd Position Code
Discrepancy Type	1st Position Code	Discrepancy Cause	2nd Position Code
a. Astray	A	Incomplete, illegible missing marking/ Hotline visit	3 H
		Carrier initiated visit	C
		Routine Visit	R
		Missing billing	4
		Hotline visit	5
		Carrier initiated visit	6
		Routine Visit	7
		Unknown	A

PREPARATION INSTRUCTIONS (Continued)

Discrepancy Type	1st Position Code	Discrepancy Cause	2nd Position Code
b. Overage	O	Improper documentation	5
		Incomplete marking/ missing label/tag	3
		Defaced/illegible marking	4
		Improper loading/stowing	K
		Unknown	O
c. Shortage	S	Leakage/spoilage/evaporation	L
		Improper documentation	5
		Theft	T
		Pilferage	P
		Status "W" cargo (Military Traffic Management Command (MTMC) terminal use only)	I
		Improper loading/stowing	K
		Unknown	S
d. Damage	D	Fire	F
		Improper loading/stowing/blocking and bracing	K
		Materials handling equipment	6
		Marine casualty	1
		Spoilage	G
		Rough handling	Q
		Sevedoring	2
		Water damage	E
		Wreck	W
		Vandalism	V
Concealed damage	Z		
e. Other	X	Broken, missing, improper, or inadequate seals	B
		Special contract or carrier services not provided on unclassified or nonprotected cargo	C
		Excess transit time	H
		Incomplete marking.missing label/tab	3
		Defaced/illegible marking	4
		Improper carrier handling, service, or equipment	J
		Improper loading, stowing, blocking or bracing (if no actual damage)	K
		Certification of hazardous material (DD Form 1387-2) missing or incorrect	L
		Improper marking or labeling of hazardous material (other than DD Foem 1387-2 violation)	M
		Misconsignment	N
		Special contract or carrier services not provided on classified or protected cargo (other than DD Form 1907 (Signature and Tally Record) violations))	R
		Signature Security Service (DD Form 1907)	S
		Not specified above. (Describe in remarks)	X

PREPARATION INSTRUCTIONS (Continued)

- 10.3.25 Item 25 - UNIT OF ISSUE. Show the two-letter abbreviation of the type of units under which the material was issued. See shipping documents.
- 10.3.26 Item 26 - UNITS BILLED/SHIPPED. Show the total number of units that were shown on the billing or shipping document.
- 10.3.27 Item 27 - DISCREPANT UNITS. Enter the number of units of issue that were found to have discrepancies.
- 10.3.28 Item 28 - DISCREPANT WEIGHT. Show the total weight of each discrepant line entry in block 23.
- 10.3.29 Item 29 - VALUE OR COST OF REPAIRS. For shortages, enter the replacement value. For over/astray freight, enter the value of the material. For repairable damage, enter the total cost to repair plus the transportation costs to and from the repair shop. For nonrepairable damage, use the total of replacement cost plus any other costs incurred to salvage the materials, minus amount recovered through salvage.
- 10.3.30 Item 30 - REMARKS. This is the place to request information needed in the investigation of the discrepancy. Include photographs (if available) or any document the shipper may not have that will aid in their reply. Use this space to confirm notification to carrier. Explain circumstances and responsibility on miscellaneous discrepancies.
- 10.3.31 Item 31a - NAME OF PREPARER. Self-explanatory.
- 10.3.32 Item 31b - TITLE. Self-explanatory.
- 10.3.33 Item 31c - TELEPHONE NO. Show the commercial and AUTOVON or Federal Telephone Service (FTS) telephone numbers of the person sign in the form.
- 10.3.34 Item 31d - SIGNATURE. Self-explanatory.

PART II - (FOR CLAIMS PURPOSES)

34. THIS IS A SURVEY DOCUMENT.

YES NO

35. DATE

36. TO:

37. RESPONSIBILITY

CARRIER SHIPPER/CONTRACTOR TRANS. SHIPPING ACTIVITY RECEIVER OTHER (Specify)

38. EXCEPTION NOTED ON CARRIERS DELIVERY RECEIPT? (If "NO," explain in Remarks)

YES NO

39. DOCUMENTS ATTACHED? (If "YES," list in Remarks)

YES NO

40. PHOTOGRAPHS ATTACHED?

YES NO

41. INSPECTION DATA

CARRIER INSPECTED (Report attached) INSPECTION WAIVED (Waiver attached)
 ORAL WAIVER (Provide name, title, and date in Remarks) GOVERNMENT INSPECTED (Report attached)

42. DISPOSITION DATA

REJECTED (Receipt attached) REPAIRED AT GOVERNMENT EXPENSE (Bill attached)
 OTHER (Explain in Remarks)

43. REMARKS (See preparation instructions of covering regulation for suggested information)

44. DISTRIBUTION OF COPIES

45A. NAME OF PREPARER (Type or print)

45B. TITLE

45C. TELEPHONE NO.

45D. SIGNATURE

46. ACTION BY REVIEWING OFFICIALS

A. ABOVE ITEMS HAVE BEEN

EXPENDED
 RECEIVED

B. INVENTORY ACCOUNT

C. CHARGE/TRANSFER TO:

D. ACCOUNTING CLASSIFICATION

E. APPROVED TO HOLD

RESPONSIBLE IN THE AMOUNT OF \$

(Name)

F. APPROVING OFFICIAL

NAME (Type or print)

TITLE

SIGNATURE

DATE

47. ACTION BY CLAIMS OFFICE

DATA ITEM DESCRIPTION				Form Approved OMB No. 0704-0188																																				
1. TITLE REPORT OF RECEIPTS, INVENTORY, ADJUSTMENTS, AND SHIPMENTS OF GOVERNMENT PROPERTY			2. IDENTIFICATION NUMBER DI-MGMT-80442																																					
3. DESCRIPTION/PURPOSE 3.1 This report provides data regarding receipt, balance on-hand, adjustment and shipment of Government property. (Accountability for assets is retained by the Government.) 3.2 This report provides documents required to (a) support adjustment of property and financial inventory accountings records, and (b) provide information as a basis for claims.																																								
4. APPROVAL DATE (YYMMDD) 870917	5. OFFICE OF PRIMARY RESPONSIBILITY (OPR) A/AMSMC-MMD		6a. DTIC APPLICABLE	6b. GIDEP APPLICABLE																																				
7. APPLICATION/INTERRELATIONSHIP 7.1 This Data Item Description (DID) contains the format and content preparation instructions for the data product generated by the specific and discrete task requirement for this data included in the contract. 7.2 This DID is applicable when contractors are responsible for maintaining custodial records for Government property being repaired.																																								
8. APPROVAL LIMITATION		9a. APPLICABLE FORMS		9b. AMSC NUMBER A4218																																				
10. PREPARATION INSTRUCTIONS 10.1 <u>Format.</u> The report shall be in the following format: Contract Number <table style="width:100%; border-collapse: collapse;"> <tr> <td style="text-align: center;">1</td> <td style="text-align: center;">2</td> <td style="text-align: center;">3</td> <td style="text-align: center;">4</td> <td style="text-align: center;">5</td> <td style="text-align: center;">6</td> <td style="text-align: center;">7</td> </tr> <tr> <td style="text-align: center;">NSN RECD</td> <td style="text-align: center;">RELATED NSN</td> <td style="text-align: center;">DOCUMENT NUMBER</td> <td style="text-align: center;">SERIAL NUMBER</td> <td style="text-align: center;">QTY RECEIVED</td> <td style="text-align: center;">DATE RECEIVED</td> <td style="text-align: center;">BALANCE ON-HAND</td> </tr> <tr> <td style="text-align: center;">8</td> <td style="text-align: center;">9</td> <td style="text-align: center;">10</td> <td style="text-align: center;">11</td> <td style="text-align: center;">12</td> <td style="text-align: center;">13</td> <td style="text-align: center;">14</td> </tr> <tr> <td style="text-align: center;">CONDITION CODE</td> <td style="text-align: center;">NSN SHIPPED</td> <td style="text-align: center;">QTY SHIPPED</td> <td style="text-align: center;">DATE SHIPPED</td> <td style="text-align: center;">SHIPMENT NUMBER</td> <td style="text-align: center;">ADJUSTMENT QTY (+/-)</td> <td style="text-align: center;">EXPLANATION GAIN/LOSS</td> </tr> <tr> <td colspan="7" style="text-align: center;">15 REMARKS</td> </tr> </table> 10.2 <u>Content.</u> All Government repairables on-hand as of close of business of the period specified in the DD Form 1423 and all assets received and shipped during the period shall be included in the report. The content of the report shall be as follows: 10.2.1 <u>Change in National Stock Number (NSN).</u> If asset is received under one NSN and modified to another configuration, the new NSN shall be reported in Column 9. 10.2.2 <u>Component disassembly or reassembly.</u> (Continued on Page 2)						1	2	3	4	5	6	7	NSN RECD	RELATED NSN	DOCUMENT NUMBER	SERIAL NUMBER	QTY RECEIVED	DATE RECEIVED	BALANCE ON-HAND	8	9	10	11	12	13	14	CONDITION CODE	NSN SHIPPED	QTY SHIPPED	DATE SHIPPED	SHIPMENT NUMBER	ADJUSTMENT QTY (+/-)	EXPLANATION GAIN/LOSS	15 REMARKS						
1	2	3	4	5	6	7																																		
NSN RECD	RELATED NSN	DOCUMENT NUMBER	SERIAL NUMBER	QTY RECEIVED	DATE RECEIVED	BALANCE ON-HAND																																		
8	9	10	11	12	13	14																																		
CONDITION CODE	NSN SHIPPED	QTY SHIPPED	DATE SHIPPED	SHIPMENT NUMBER	ADJUSTMENT QTY (+/-)	EXPLANATION GAIN/LOSS																																		
15 REMARKS																																								
11. DISTRIBUTION STATEMENT DISTRIBUTION STATEMENT A: Approved for public release; distribution is unlimited.																																								

Attachment 4

DI-MGMT-80442

Block 10, Preparation Instructions (Continued)

10.2.2.1 If a stock-numbered component is removed from the item being repaired and not reassembled to the repairable, only the following columns of data shall be reported:

- a. Col 1 - NSN of the component removed.
- b. Col 2 - NSN of the asset from which the component was removed.
- c. Col 3 - Document number of the repairable from which the component was removed.
- d. Col 4 - Serial number of the repairable from which the component was removed, if applicable.
- e. Col 5 - Quantity removed.
- f. Col 15 - Receipt from component disassembly.

10.2.2.2 When a stock-numbered component removed from one repairable is being reassembled to another repairable, the following shall be reported. It may be combined with the entry for removal of the component.

- a. Col 1 - NSN of the component utilized.
- b. Col 9 - NSN of the repairable asset upon which the component was utilized.
- c. Col 10 - Quantity of the component utilized.
- d. Col 11 - Date utilized.
- e. Col 12 - Document number of the repairable upon which the component was utilized.
- f. Col 15 - Issue to component assembly.

10.2.3 Inventory. Should contractor custodial records require an adjustment following a physical inventory, the quantity adjusted (+ or -) shall be reported in col 13 of the report for that period.

10.2.3.1 Should col 13 be utilized to report an adjustment quantity, the circumstances of the loss or gain shall be explained in col 14.

10.2.4 Report dates. Ordinal dates shall be used for report dates. The Ordinal date is comprised of the last two digits of the calendar year and the Julian day of the year.
Example: 30 Jan 87 shall be written as 870730.

DATA ITEM DESCRIPTION			Form Approved OMB No. 0704-0188	
1. TITLE REPORT OF SHIPPING (ITEM) AND PACKAGING DISCREPANCY		2. IDENTIFICATION NUMBER DI-MGMT-80503		
3. DESCRIPTION/PURPOSE 3.1 This report enables the contractor to notify the Government when freight contents do not agree with shipping documents. 3.2 The principal uses of this report are to provide the basic documents required to (a) support adjustment of property and financial inventory accounting records and (b) provide information as a basis for claims.				
4. APPROVAL DATE (YYMMDD) 871230	5. OFFICE OF PRIMARY RESPONSIBILITY (OPR) A/AMSMC-MMD	6a. DTIC APPLICABLE	6b. GIDEP APPLICABLE	
7. APPLICATION/INTERRELATIONSHIP 7.1 This Data Item Description (DID) contains the format and content preparation instructions for the data generated by the specific and discrete task requirement for this data included in the contract. 7.2 This DID is applicable when contractors receive Government materiel during performance of defense contracts.				
8. APPROVAL LIMITATION	9a. APPLICABLE FORMS SF 364	9b. AMSC NUMBER A4284		
10. PREPARATION INSTRUCTIONS 10.1 <u>Format.</u> Requested information shall be provided on SF 364. (See Figure 1) 10.2 <u>Content.</u> 10.2.1 Indicate whether shipping discrepancy or packaging discrepancy by placing an "X" in appropriate box at top of form. Mailing envelopes shall be conspicuously marked "SF 364." 10.2.2 <u>Item 1 - DATE OF PREPARATION.</u> Report is prepared in sequence of year, month, and day. This sequence should also be used in all date entries. For example, March 23, 1987, is written 87 MAR 23 and June 1, 1987, is written 87 JUN 01. 10.2.3 <u>Item 2 - REPORT NUMBER.</u> The REPORT NUMBER is made up of the contract DOD Activity Address Code (DODAAC), followed by a four digit number. This four-digit number will start with 0001 and go to 9999 or to the end of the calendar year. The first number of each year will always be 0001. 10.2.4 <u>Item 3 - TO.</u> In-the-clear Name, Address, ZIP Code and DODAAC or Routing Identifier Code (RIC) and attention symbol or code of action activity. When both shipping-type (item) discrepancies and packaging discrepancies are reported for the same item, enter "see item 15" and enter addresses or codes of both action activities in item 15. (Continued on Page 2)				
11. DISTRIBUTION STATEMENT DISTRIBUTION STATEMENT A: Approved for public release; distribution is unlimited.				

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Block 10, Preparation Instructions (Continued)

- 10.2.5 Item 4 - FROM. Name, address and ZIP code of the reporting activity (consignee). The "in-the-clear" address will be entered.
- 10.2.6 Item 5a - SHIPPER'S NAME. Enter name and address of shipper (consignor) when different from item 3.
- 10.2.7 Item 5b - NUMBER AND DATE OF INVOICE. Enter number and date of vendor's invoice or shipper's bill number. Attach copy of invoice to SF 364. (Not applicable to packaging discrepancies).
- 10.2.8 Item 6 - TRANSPORTATION DOCUMENT. Enter the type of transportation document, Government bill of lading (GBL), commercial bill of lading (CBL), manifest, waybill, insured or certified parcel post number, or transportation control and movement document (TCMD) and the identifying number assigned to such document. This is a mandatory entry when shipment received was made via traceable means (e.g., GBL, CBL). Further, for shipment (item) type discrepancies, include following statement in item 12-- "Shortage has been verified as not being transportation related."
- 10.2.9 Item 7a - SHIPPER'S NUMBER. Enter shipment number (when more than one shipment is made under a contract or requisition) and contract or document number (e.g., contract, purchase order).
- 10.2.10 Item 7b - OFFICE ADMINISTERING CONTRACT. Name, Address, and ZIP Code of the contract administration office (CAO) which directed or arranged shipment.
- 10.2.11 Item 8 - REQUISITIONER'S NUMBER. Enter the requisitioning activity's number (e.g., requisition, purchase request). Entry of the applicable requisition document number is mandatory in all instances, even though a contract or purchase order is involved.
- 10.2.12 Item 9a - NSN OR PART NUMBER AND NOMENCLATURE. If item received is different from item shown on shipping documents, or different from item ordered, show each item on a separate line. For serial numbered principal items, list the item individual serial number first, followed by the discrepancies applicable to that serial number.
- 10.2.13 Item 9b - UNIT OF ISSUE. Enter unit of issue as billed or indicated on shipping document for each item listed in item 9a. (Not applicable to packaging discrepancies.)
- 10.2.14 Item 9c - QUANTITY SHIPPED OR BILLED. Enter quantity of item shipped or billed. When code C1 is applicable, enter the quantity and the supply condition code of the item when shipped (e.g., 980A), as shown on the shipping document. (Not applicable to packaging discrepancies.)
- 10.2.15 Item 9d - QUANTITY RECEIVED. Enter quantity of item received.
- 10.2.16 Item 10a - DISCREPANCY QUANTITY. Enter the discrepant quantity. If code C1 is applicable, enter the quantity and the supply condition code of the item received. If total quantity received is classified under more than one condition code, enter separately each partial quantity so classified, followed by the applicable condition code (e.g., 960A, 20F).
- 10.2.17 Item 10b - DISCREPANCY UNIT PRICE. Enter the unit price as billed or shown on shipping document. (Not applicable to packaging discrepancies.)
- 10.2.18 Item 10c - DISCREPANCY TOTAL COST. For shipping-type (item) discrepancies, enter the total value of materiel (10a x 10b). For packaging deficiencies, enter cost of correct item.

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Block 10, Preparation Instructions (Continued)

- 10.2.19 Item 10d - DISCREPANCY CODE. Nature of discrepancy using the discrepancy codes listed on the face of the form. If a condition exists that is not listed, use code Z1 and describe discrepancy in item 12, remarks.
- 10.2.20 Item 11 - ACTION CODE. Enter requested action from codes listed on face of the form. If action is other than those covered by listed action codes, use code 1Z and explain action requested in item 12, remarks.
- 10.2.21 Item 12 - REMARKS. Use for any supplemental information where the combination of discrepancy codes and action codes needs clarification; where shipping-type (item) discrepancies and packaging discrepancies need explanation, and where a breakout of cost to reports in terms of time and materials is required. Also enter specific data such as appearance, lot or batch number, manufacture or packaging date, inspector number and inspection date, probable cause of improper packaging, and suggested corrective action. When reporting shortages or nonreceipt of items shipped by parcel post to GSA, a statement must be included in item 12 to indicate whether all packages shown as shipped in item 5 of GSA Form 1348-1 were received. Include telephone number (Automatic Voice Network (AUTOVON) and commercial) of the individual to be contacted for additional information if different from item 14a. If medical materiel requiring refrigeration or frozen storage is involved, provide the information requested on the special instruction sheet which is included with shipments of such materiel. Provide photos where it would assist the shipping activity in determining the cause of the discrepancy or deficiency. For component shortages to principal items, sets, kits and outfits; cite the reference(s) used, to include the effective date and change number, to determine the shortage (i.e., a hand receipt, packing list, technical manual drawing or supply catalog). Receiving activities should emphasize the use of packing lists whenever available for the determination of shortages.
- 10.2.22 Item 13 - FUNDING AND ACCOUNTING DATA. For packaging discrepancies, enter the accounting or appropriation data needed by the action activity to credit the account of the reporting activity for costs involved in correcting the reported discrepancies. This item does not apply to shipping-type (item) discrepancies.
- 10.2.23 Item 14a - TYPED OR PRINTED NAME, TITLE AND PHONE NUMBER OF PREPARING OFFICIAL. Self-explanatory. When non-DOD action activities are involved include both commercial and AUTOVON numbers.
- 10.2.24 Item 14b - SIGNATURE. Self-explanatory.
- 10.2.25 Item 15 - DISTRIBUTION ADDRESSES FOR COPIES. The reverse of SF 364 is to be completed by the action activity.

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10. PREPARATION INSTRUCTIONS (Continued)
 At the time this DID was approved, revision of this form to display the appropriate OMB Control Number was forthcoming.

REPORT OF DISCREPANCY (ROD)		1. DATE OF PREPARATION	2. REPORT NUMBER					
<input type="checkbox"/> SHIPPING <input type="checkbox"/> PACKAGING								
3. TO (Name and address, include ZIP Code)		4. FROM (Name and address, include ZIP Code)						
5a. SHIPPER'S NAME		5b. NUMBER AND DATE OF INVOICE	6. TRANSPORTATION DOCUMENT NUMBER (GBL, Waybill, TCN, etc.)					
7a. SHIPPER'S NUMBER (Purchase Order/Shipment, Contract, etc.)	7b. OFFICE ADMINISTERING CONTRACT		8. REQUISITIONER'S NUMBER (Requisition, Purchase Request, etc.)					
B. SHIPMENT, BILLING, AND RECEIPT DATA								
10. DISCREPANCY DATA								
11. ACTION CODE								
NSN/PART NUMBER AND NOMENCLATURE (a)	UNIT OF ISSUE (b)	QUANTITY SHIPPED/BILLED (c)	QUANTITY RECEIVED (d)	QUANTITY (e)	UNIT PRICE (b)	TOTAL COST (c)	CODE ¹ (d)	AC. CODE
12. REMARKS (Continue on separate sheet of paper if necessary)								

1 DISCREPANCY CODES CONDITION OF MATERIAL C1 - In condition other than that indicated on release/receipt document C2 - Expired shelf life C3 - Damaged parcel post shipment SUPPLY DOCUMENTATION D1 - Not received D2 - Illegible or mutilated D3 - Incomplete improper or without authority (Only when receipt cannot be properly processed) MISDIRECTED MATERIAL M1 - Addressed to wrong activity OVERAGE/DUPLICATE SHIPMENTS O1 - Quantity in excess of that on receipt document O2 - Quantity in excess of that requested (Other than unit of issue pack) O3 - Quantity duplicates shipment PACKAGING DISCREPANCY P1 - Improper preservation P2 - Improper packing P3 - Improper marking P4 - Improper utilization 13. FUNDING AND ACCOUNTING DATA		2 ACTION CODES 1A - Disposition instructions requested (Reply on reverse) 1B - Material being retained (See remarks) 1C - Supporting supply documentation requested 1D - Material still required expedite shipment (Not applicable to FMS) 1E - Local purchase material to be returned at supplier's expense unless disposition instructions to the contrary are received within 15 days (Reply on reverse) (Not applicable to FMS) 1F - Replacement shipment requested (Not applicable to FMS) 1G - Reshipment not required. Item to be re-acquisitioned. 1H - No action required. Information only 1Z - Other action requested (See remarks)	
PRODUCT QUALITY DEFICIENCIES Q1 - Deficient material (Applicable to Grant Aid and FMS shipments only) SHORTAGE OF MATERIAL S1 - Quantity less than that on receipt document S2 - Quantity less than that requested (Other than unit of issue pack) S3 - Nonreceipt of parcel post shipments ITEM TECHNICAL DATA MARKINGS (i.e., Name Plates, Log Books, Operating Handbooks, Special Instructions, etc.) T1 - Missing T2 - Illegible or mutilated T3 - Precautionary operational markings missing T4 - Inspection data missing or incomplete T5 - Serviceability operating data missing or incomplete T6 - Warranty data missing WRONG ITEM (Identify requested item as a separate copy in item 9 above) W1 - Incorrect item received W2 - Unacceptable substitute OTHER DISCREPANCIES Z1 - See remarks		14a. TYPED OR PRINTED NAME, TITLE, AND PHONE NUMBER OF PREPARING OFFICIAL 14b. SIGNATURE	
15. DISTRIBUTION ADDRESSEES FOR COPIES			

FIGURE 1. Report of Discrepancy

Attachment 5

DI-MGMT-80503

10. PREPARATION INSTRUCTIONS (Continued)

16. FROM:		17. DISTRIBUTION ADDRESSEES FOR COPIES	
18. TO:		<p>Use window envelope to mail this document. Insert name and address, including ZIP Code, starting one typing space below the left dot. Each address line must NOT extend beyond right dot. Address must not exceed four single space typing lines.</p>	
19. IN ACCORDANCE WITH NOTICE OF DISCREPANCY ON FACE OF THIS FORM:			
Fold here →	a. MATERIAL <input type="checkbox"/> HAS BEEN <input type="checkbox"/> WILL BE SHIPPED		DOCUMENT NUMBER
	c. <input type="checkbox"/> AN ADJUSTMENT IN BILLING HAS BEEN/WILL BE PROCESSED AS A: <input type="checkbox"/> CREDIT <input type="checkbox"/> DEBIT		b. <input type="checkbox"/> NO RECORD OF SHIPMENT. RESUBMIT REPORT TO PROPER OFFICE UNDER APPROPRIATE REGULATION.
f. <input type="checkbox"/> AN ADJUSTMENT IN BILLING FOR THE REPORTED DISCREPANCY WILL NOT BE PROCESSED FOR THE FOLLOWING REASON WHICH IS CITED IN THE INDICATED REGULATION.		d. <input type="checkbox"/> INVOICE/BILL ATTACHED	e. <input type="checkbox"/> PROOF OF DELIVERY (Parcel Post Shipments) OR EVIDENCE OF SHIPMENT ENCLOSED.
(1) REASON FOR NOT PROCESSING		(2) PRESCRIBING REGULATION	
(a) DISCREPANCY WAS NOT REPORTED WITHIN THE TIME FRAMES ALLOWED AND/OR		(a) CHAPTER 5 OF THE GSA HANDBOOK, DISCREPANCIES OR DEFICIENCIES IN GSA OR DOD SHIPMENTS, MATERIAL, OR BILLINGS (FPMR 101-26.5)	
(b) DOLLAR VALUE DOES NOT MEET THE CRITERIA PRESCRIBED IN THE REGULATION OR AGREEMENT INDICATED IN 191(2)		(b) CHAP. 2 AND/OR 7 OF DOD 4000-25-7-M, MILITARY STANDARD BILLING SYSTEM (MILSBILLS) AND/OR DD 1513, U.S. DOD OFFER AND ACCEPTANCE, AS APPLICABLE.	
20. THE FOLLOWING DISPOSITION IS TO BE MADE OF THE REFERENCED MATERIAL:			
a. <input type="checkbox"/> PROCESS FOR DISPOSAL IN ACCORDANCE WITH SERVICE/AGENCY DIRECTIVES.		b. <input type="checkbox"/> REPRESENTATIVE WILL CALL FOR DISCUSSION CONCERNING DISPOSITION IN:	DAYS
c. <input type="checkbox"/> RETAIN MATERIAL AT NO CHARGE.		d. <input type="checkbox"/> MATERIAL WILL BE PICKED UP IN:	DAYS
e. <input type="checkbox"/> SHIP MATERIAL (Specify location):			
(1) <input type="checkbox"/> GBL APPROPRIATION CHARGEABLE;			
(2) <input type="checkbox"/> CHARGES COLLECT-VIA: <input type="checkbox"/> FREIGHT <input type="checkbox"/> EXPRESS <input type="checkbox"/> PARCEL POST			
(3) <input type="checkbox"/> PARCEL POST LABEL ATTACHED (4) <input type="checkbox"/> FREIGHT PREPAID			
(\$ _____ postage advanced herewith. NOTE: Please enclose postage. Material cannot be returned Parcel Post collect.)			
f. <input type="checkbox"/> OTHER (Specify)			
21. <input type="checkbox"/> IF MATERIAL IS STILL REQUIRED, SUBMIT NEW REQUISITION		22. <input type="checkbox"/> REPLACEMENT WITH SATISFACTORY MATERIAL WILL BE MADE ON OR BEFORE: DATE	
23. REMARKS (Continue on separate sheet of paper if necessary)			
24a. TYPED OR PRINTED NAME AND PHONE NUMBER OF PREPARING OFFICIAL		24b. SIGNATURE	
		24c. DATE	

FIGURE 1. Report of Discrepancy - continued

Attachment 5

SPECIAL PACKAGING INSTRUCTIONS (AMCCOM Suppl 1 to AR 700-15)				1 NATIONAL STOCK NO			
2 SPI NO (AM) P12283113		3 REVISION B/GSK2021		4 DATE 07/07/95			
5 PART OR DRAWING NO 12283113		6 FROM 19207		7 MIL-STD CLEANING/DRYING *MIL-P-116			
8 QUANTITY OF ISSUE 1 - ea	9 ICG	10 UNIT PACK WEIGHT (APPROX) 16.38	11 UNIT PACK CURVE (EXT) (APPROX) 1.706	12 UNIT PACK SIZE (EXT) (APPROX) 2.75 X 1.25 X .78			
13 LEVEL A UNIT PACK REQUIREMENTS							
MIL-P-116 METHOD <u>II-E</u>	STEPS	DRAWING OR SPECIFICATION	STYLE	TYPE	GRADE	CLASS	SIZE (INSIDE DIMENSIONS IN INCHES) AND REMARKS
INTIMATE WRAP	1	MIL-P-17667		II		I	
CUSHIONING	2	A-A-1051	I	III			
DESICCANT	3	MIL-D-3464					
TAPE	4	A-A-883					
CONTAINER	5	ASTM D 5118	RSC		125		
CLOSURE	6	ASTM D 1974		I		E	
CONTAINER	7	MIL-B-117					
14a LEVEL B. METHOD _____		<input type="checkbox"/> NOT APPLICABLE		<input checked="" type="checkbox"/> SEE NOTE <u>MIL-STD-2073-1</u>			
14b LEVEL C. METHOD _____		<input type="checkbox"/> NOT APPLICABLE		<input checked="" type="checkbox"/> SEE NOTE <u>ASTM-D-3951</u>			
15 INTERMEDIATE PACKAGING AND PACKING WILL BE IN ACCORDANCE WITH SPECIFICATION MIL-STD-2073-1 OR AS OTHERWISE SPECIFIED HEREON.							
16 MARKING WILL BE IN ACCORDANCE WITH MIL-STD-129.							
17 SPECIFICATIONS, STANDARDS, AND DRAWINGS LISTED HEREON OF THE ISSUE IN EFFECT ON DATE OF INVITATION FOR BID FOR A PART OF THIS DATA SHEET. THE APPLICABLE GENERAL AND REFERENCED REQUIREMENTS OF SPECIFICATION V1-STD-2073-1 FORM PART OF THIS DATA SHEET. UNLESS OTHERWISE SPECIFIED, MATERIALS WILL BE MINIMUM SIZE (AW MIL-STD-2073-1).							
18 TOLERANCES SHALL BE IN ACCORDANCE WITH MATERIAL SPECIFICATIONS. QUALITY PERFORMANCE AND TESTING PROCEDURES SHALL BE IN ACCORDANCE WITH MIL-P-116 OR AS OTHERWISE SPECIFIED HEREON.							
19 NOTES/DRAWINGS * UNLESS OTHERWISE SPECIFIED, ANY APPLICABLE PROCESS OF MIL-P-116 THAT DOES NOT DAMAGE THE ITEM SHALL BE UTILIZED.							
20 ITEM IDENTIFICATION CODE(S)		21 ITEM SIZE 12.38 X 8.38 X 4.63	22 ITEM WT 9.75	23 APPROVED SUNSONG CARRUTER			
24 NOMENCLATURE CONTROL ASSEMBLY GUNNERS				Page 1 of 1 Pages			

DISTRIBUTION STATEMENT A: UNLIMITED

Attachment 6

DEFENSE PRIORITIES AND ALLOCATIONS SYSTEM (15 CFR 700)

GENERAL

As a defense contractor for the Department of the Army, you are required to follow the provisions of the Defense Priorities and Allocations System (DPAS) and the other applicable regulations and orders of the Department of Commerce (DOC) in obtaining products, services, and materials needed to fill this order. (Ref General Provisions, FAR 52.211-15.)

The rules relating to the status, placement, acceptance, and treatment of priority ratings and rated orders are contained in DPAS. There are two types of priority ratings: DO ratings and DX ratings. A priority rating consists of either of these rating symbols and one of program identification. For example, DO-A6 identifies the program as ammunition (A6) and gives the contract a DO rating. DX-A5 identifies the program as weapons (A5) and gives the contract a DX rating. The program identification symbol (A5, A6, etc.) does not affect the preferential status of the rating on the applicable contract.

Use of the priorities system is appropriate during the solicitation phase in aligning potential suppliers/subcontractors. It is, therefore, imperative that prospective bidders/offerors identify each request for quotations issued to suppliers as a defense order with the applicable priority rating that would be assigned.

SEQUENCE OF FILLING RATED ORDERS

Acceptance of a rated order requires scheduling of operations to fill each rated order by the required delivery or performance date, regardless of the sequence in which the orders were received. If this is not possible, precedence must be given as follows:

- a. DX rated orders take precedence over DO rated orders, and DO rated orders take precedence over unrated orders. All DX ratings have equal preferential status; all DO ratings have equal preferential status.
- b. A conflict between rated orders of equal priority status: precedence shall be given to the order which was received first.
- c. A conflict between rated orders of equal priority status received on the same day: precedence shall be given to the order which has the earliest required delivery or performance date.

MANDATORY USE OF RATINGS

It is mandatory that prime contractors receiving rated orders extend the rating to their subcontractors and suppliers for the materials necessary to complete the rated contract. The priority rating appearing in the contract shall be used when placing subcontracts and purchase orders for production materials, components and/or items (e.g., special jigs, dies, fixtures, and inspection gauges) required for performance on a rated contract. The contractor shall advise subcontractors (first, second, or subsequent tier) to extend the rating and program identification when placing subcontracts and purchase orders. A rated order must contain the following:

- a. The priority rating - which consists of the prefix DO or DX, followed by the program identification, A6, B9, C3, or C9, etc.
- b. A statement that reads in substance: This is a rated order certified for national defense use, and you are required to follow all the provisions of the Defense Priorities and Allocations System regulation (15 CRF 700).
- c. The signature of an authorized official of the firm placing the order.
- d. The delivery date or dates required.

PRIORITIES ASSISTANCE

The priorities provided by DPAS may not always prove effective and compliance with the system by material suppliers may be lacking. In order to aid defense contractors in overcoming such production bottlenecks, DOC provides special assistance. When a defense contractor determines that its supplier's delivery promises will not permit the maintenance of its contract schedule, the contractor may then submit a Request for Special Priorities Assistance on Form BXA-999. Form BXA-999 should be filed through the Administrative Contracting Officer (ACO) administering the contract for processing to Commander, Industrial Operations Command, ATTN: AMSIO-IOI-L, Rock Island, IL 61299-6000. Furnish one advance copy of the Form BXA-999 to AMSIO-IOI-L.

PRIORITY RATING FOR PRODUCTION EQUIPMENT

A priority rating for the purchase of contractor-owned production equipment in support of a rated contract may be authorized to either the prime contractor or its subcontractors. Rating authority requires submittal of a DD Form 691, Application for Production Equipment, through the Administrative Contracting Officer (ACO) administering the contract for processing to Commander, Industrial Operations Command, ATTN: AMSIO-IOI-L, Rock Island, IL 61299-6000. Furnish one advance copy of the DD Form 691 to AMSIO-IOI-L.

INFORMATION

The contractor may request assistance in using the forms BXA-999, Request for Special Priorities Assistance and DD Form 691, Application for Rating for Production Equipment from Commander, Industrial Operations Command, ATTN: AMSIO-IOI-L, Rock Island, IL 61299-6000 (e-mail dpas@ria-emh2.army.mil).

Copies of a booklet, "Defense Priorities and Allocations System," and a complete list of the regulations, orders, and directions currently in effect, may be obtained from district offices of the U.S. Department of Commerce or from Publications Sales Branch, U.S. Department of Commerce, Washington, D.C. 20230. Copies of DPAS may also be obtained from Commander, Industrial Operations Command, ATTN: AMSIO-IOI-L, Rock Island, IL 61299-6000 (e-mail dpas@ria-emh2.army.mil).

CONTRACT DATA REQUIREMENTS LIST
(2 Data Items)

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 220 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503. Please DO NOT RETURN your form to either of these addresses. Send completed form to the Government Issuing Contracting Officer for the Contract/PR No. listed in Block E.

A. CONTRACT LINE ITEM NO.		B. EXHIBIT	C. CATEGORY: TOP _____ TM _____ OTHER _____		
D. SYSTEM/ITEM M1 FOV GCHA		E. CONTRACT/PR NO.		F. CONTRACTOR Cadillac Gage Inc.	
1. DATA ITEM NO. A001	2. TITLE OF DATA ITEM Request For Deviation		3. SUBTITLE		
4. AUTHORITY (Data Acquisition Document No.) DI-CMAN-80640B		5. CONTRACT REFERENCE Section C, paragraph 3.4.1.1		6. REQUIRING OFFICE AMSTA-AR-ESM-I	
7. DD 250 REQ LT	9. DIST STATEMENT REQUIRED	10. FREQUENCY 0	12. DATE OF FIRST SUBMISSION AS REQ	14. DISTRIBUTION	
8. APP CODE N		11. AS OF DATE AS REQ	13. DATE OF SUBSEQUENT SUBMISSION AS REQ	a. ADDRESSEE	
16. REMARKS				b. COPIES	
				Draft	Final Reg Repro
				AMSTA-AC-PCF-B	0 1 0
				15. TOTAL	1

17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

1. DATA ITEM NO. A002		2. TITLE OF DATA ITEM Request For Waiver		3. SUBTITLE	
4. AUTHORITY (Data Acquisition Document No.) DI-CMAN-80641B		5. CONTRACT REFERENCE Section C, paragraph 3.4.1.1		6. REQUIRING OFFICE AMSTA-AR-ESM-I	
7. DD 250 REQ LT	9. DIST STATEMENT REQUIRED	10. FREQUENCY 0	12. DATE OF FIRST SUBMISSION AS REQ	14. DISTRIBUTION	
8. APP CODE N		11. AS OF DATE AS REQ	13. DATE OF SUBSEQUENT SUBMISSION AS REQ	a. ADDRESSEE	b. COPIES
16. REMARKS				Draft	Final Reg Repro
				AMSTA-AC-PCF-B	0 1 0
				15. TOTAL	1

17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

G. PREPARED BY John R. Swarney	H. DATE 4 Jan 99	I. APPROVED BY Stephany Stame	J. DATE 5 Jan 99
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Appendix A

